LWM Transition and Value Prop

Today, Legacy Wealth Management is an alternative & proven solution for Investment Advisor Representatives who seek the independence and autonomy of an advisory firm without the challenges associated with creating a new (start-up) RIA or Firm Operation, joining an existing traditional Investment Advisor or insurance company, or selling/merging their practice. LWM is an all-inclusive, turnkey, plug-and-play platform that is designed to provide the following:

- Ongoing Regulatory/ Compliance Oversight and Updates
- On-going Operational Support and Dedicated Transition Team
- Consolidated Client Reporting and Performance Software
- Portfolio Management Flexibility Through Open Architecture
- Access to participation in acquisitions of other practices and/ or books of business
- Conference Room For Client Meeting With Video Conference Capabilities
- On-going training and development in the Fee based space & Wealth Management (including Financial Planning)
- Professional Partnership Program for Advisory Business (Banks, Credit Unions, CPA's & Estate Planning Attorneys)
- Marketing & Branding Advertising Review and Support
- LPL Financial Transition Assistance Compensation
- Third-Party Manager Due Diligence & Research
- Open Architecture Investments & Insurance platform(s)
- Multiple-custodian affiliation & capabilities (ability to place business through multiple custodians – including Foreign Nationals, Expats, or HNW individuals seeking diversification with more than one custodian)

LWM's Ideal Candidate

LWM's ideal candidates include Breakaway IARs, Breakaway B/D Registered Representatives (IBD or Wirehouse), Independent RIA Firms looking to streamline operations, and Bank Representatives. LWM also has extensive experience assisting IAR's who wish to explore exit alternatives via straight or gradual acquisition of their practice/ book of business.

Follow us on Social media

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800 519 4015
954 474 7399
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LWM's Economics:

LWM provides its turn-key platform and services in one of the most unique and cost-competitive delivery structures available in the Financial Services Industry.

- Client Relationship Management Software
- Practice Succession, Continuity, and Retirement Transition Assistance
- Joint Work Opportunities Partnering with other LWM Advisors
- Private Banking & Loan Options: LWM advisors have the ability to utilize Goldman Sachs, BNY Mellon for clients to access liquidity and capital
- IARs Own Their Relationships And Books Of Business
- E-mail Review and Retention
- Unrestricted parking for clients\ visitors
- Inclusion in LWM Investment Committee
- Office Space (class A Building)
- IAR State Registration (Pass-Through or Additional Cost)
- Competitive Payouts

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